

**Home and Community Based Service Waivers (HCBS) Expenditures from the State General Fund
FY 2007 to FY 2013 Approved**

Joint Legislative Budget Committee
September 12 and 13, 2012
Attachment: **M**

| | FY 2007 Actuals | FY 2008 Actuals | FY 2009 Actuals | FY 2010 Actuals | FY 2011 Actuals | FY 2012 Approved | FY 2013 Approved |
|---|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Department on Aging | | | | | | | |
| HCBS/FE | \$ 25,123,026 | \$ 26,246,366 | \$ 25,151,011 | \$ 22,389,009 | \$ 21,554,366 | \$ 31,936,854 | \$ 32,023,028 |
| Department of Social and Rehabilitation Services | | | | | | | |
| HCBS/DD | 98,535,965 | 109,519,509 | 97,967,491 | 94,837,897 | 104,401,029 | 137,465,972 | 140,654,812 |
| HCBS/PD | 37,494,203 | 44,229,044 | 48,121,139 | 39,957,475 | 42,458,866 | 51,731,161 | 53,813,645 |
| HI/TBI | 3,286,755 | 3,542,533 | 3,795,393 | 3,118,903 | 3,148,008 | 6,103,177 | 6,178,914 |
| TA | 71,363 | 48,919 | 6,056,066 | 6,759,051 | 7,577,093 | 11,541,100 | 11,651,251 |
| Autism | | 6,526 | 176,132 | 228,927 | 245,764 | 559,127 | 621,882 |
| TOTAL | \$ 166,942,512 | \$ 186,977,897 | \$ 184,477,389 | \$ 169,301,658 | \$ 181,163,291 | \$ 239,783,978 | \$ 246,729,460 |

Staff Note: The FMAP rate for Kansas Medicaid programs was increased beginning October 2008 due to the federal American Recovery and Reinvestment Act of 2008 (ARRA). This increased the federal share and decreased the state portion for Medicaid expenditures. The increased federal share ended on June 30, 2011.

Amy Deckard

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Home and Community Based Services Historical Waiting List

5-2

| | | | | | | | | | |
|----------------------------|----------------|--------------|-------------|--------------|-----------|--------------|--------------|--------------|--------------|
| Department on Aging | September 2012 | Omnibus 2012 | August 2011 | Omnibus 2011 | July 2010 | Omnibus 2010 | October 2009 | Omnibus 2009 | Omnibus 2008 |
| HCBS/FE | - | - | - | - | - | - | - | - | - |

Department of Social and Rehabilitation Services

| | | | | | | | | | |
|---------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| HCBS/DD | 2,796 | 2,640 | 2,624 | 2,501 | 2,414 | 2,246 | 1,863 | 1,650 | 1,345 |
| Underserved | 1,247 | 1,176 | 1,150 | 1,053 | 1,024 | 915 | 985 | 1,036 | 730 |
| Total HCBS/DD | 4,043 | 3,816 | 3,774 | 3,554 | 3,438 | 3,161 | 2,848 | 2,686 | 2,075 |
| HCBS/PD | 3,475 | 3,538 | 3,098 | 2,950 | 2,108 | 1,975 | 1,382 | 552 | - |
| HI/TBI | - | - | - | - | - | - | - | - | - |
| TA | - | - | - | - | - | - | - | - | - |
| Autism | 275 | 251 | 254 | 259 | 247 | 243 | 275 | 224 | 141 |

Overview of Medicaid Home & Community Based Services Waivers Operated by DBHS/CSS & MH and KDOA

Updated 10-26-11

| WAIVER | AUTISM | DEVELOPMENTAL DISABILITY | PHYSICAL DISABILITY | TECHNOLOGY ASSISTED | TRAUMATIC BRAIN INJURY | FRAGILE/ELDERLY (operated by KS dept. on Aging) | SERIOUSLY EMOTIONALLY DISTURBED (SED) | COMMUNITY-BASED ALTERNATIVES (COPRA) |
|------------------------------------|--|--|--|--|--|--|--|--|
| Institutional Equivalent | State Mental Health Hospital Services | Intermediate Care Facility for Persons with Mental Retardation | Nursing Facility | Acute Care Hospital | Head Injury Rehabilitation Facility | Nursing facility | State Mental Health Hospital | Specialized Residential Treatment Facility (RTF) |
| Eligibility | <ul style="list-style-type: none"> > Time of diagnosis through 5 years of age > Diagnosis of an Autism Spectrum Disorder or PDD-NOS > Meet functional eligibility > Eligible for State Institutional | <ul style="list-style-type: none"> > Individuals age 5 and up > Meet definition of mental retardation or developmental disability > Eligible for ICF/MR level of care | <ul style="list-style-type: none"> > Individuals age 16-64* > Determined disabled by SSA > Need assistance with the activities of daily living. > Eligible for nursing facility care *Those on the waiver at the time they turn 65 may choose to stay on the waiver | <ul style="list-style-type: none"> > Children under age 22 > Dependent upon intensive medical technology > Medically fragile > Requires the level of care provided in an acute hospital | <ul style="list-style-type: none"> > Individuals age 16-55 > Have traumatic, non-degenerative brain injury resulting in residual deficits and disabilities > Eligible for in-patient care in a Head Injury Rehabilitation Hospital | <ul style="list-style-type: none"> > Individuals age 65 or older > Choose IGS functionally eligible for nursing care > No waiver constraints | <ul style="list-style-type: none"> > Children 4-18; under 4 /over 18 if age exception approved > Choose HCBS > Determined Seriously Emotionally Disturbed by CMHC > Meet admission criteria for State Hospital | <ul style="list-style-type: none"> > Children 18 and over /over 18 if age exception approved > Choose HCBS > Meet admission criteria for RTF (not for institutional) > Screened by the CMHC > Children/Youth preparing to be discharged from RTF are automatically eligible |
| Point of Entry | Preliminary Autism Application sent to the HCBS/Autism Program Manager | Community Developmental Disability Organization | Case management Entities | Case management Entities | Case management Entities | Case management Entities | CMHC Staff | CMHC Staff |
| Financial Eligibility Rules | <ul style="list-style-type: none"> > Only the individual's personal income & resources are considered > Parent's income & resources are not counted, but are considered for the purpose of determining a family participation fee > Income over \$727 per month must be contributed towards the cost of care | <ul style="list-style-type: none"> > Only the individual's personal income & resources are considered > For individuals under age 18, parent's income & resources are not counted, but are considered for the purpose of determining a family participation fee > Income over \$727 per month must be contributed towards the cost of care | <ul style="list-style-type: none"> > Only the individual's personal income & resources are considered > For individuals under age 18, parent's income & resources are not counted, but are considered for the purpose of determining a family participation fee > Income over \$727 per month must be contributed towards the cost of care | <ul style="list-style-type: none"> > Only the individual's personal income & resources are considered > For individuals under age 18, parent's income & resources are not counted, but are considered for the purpose of determining a family participation fee > Income over \$727 per month must be contributed towards the cost of care | <ul style="list-style-type: none"> > Only the individual's personal income & resources are considered > For individuals under age 18, parent's income & resources are not counted, but are considered for the purpose of determining a family participation fee > Income over \$727 per month must be contributed towards the cost of care | <ul style="list-style-type: none"> > Only the individual's personal income & resources are considered > Income over \$727 per month must be contributed towards the cost of care | <ul style="list-style-type: none"> > Only the individual's personal income & resources are considered > For individuals under age 18, parent's income & resources are not counted, but are considered for the purpose of determining a family participation fee > Income over \$727 per month must be contributed towards the cost of care | <ul style="list-style-type: none"> > Only the individual's personal income & resources are considered > For individuals under age 18, parent's income & resources are not counted, but are considered for the purpose of determining a family participation fee > Income over \$727 per month must be contributed towards the cost of care |

| WAIVER | AUTISM | DEVELOPMENTAL DISABILITY | PHYSICAL DISABILITY | TECHNOLOGY ASSISTED | TRAUMATIC BRAIN INJURY | FRAGILE/ELDERLY (operated by KS dept. on Aging) | SERIOUSLY EMOTIONALLY DISTURBED (SED) | COMMUNITY BASED ALTERNATIVES TO PART |
|---|--|---|--|---|---|---|--|---|
| <p>Services/Supports Additional regular Medical services are provided</p> | <ul style="list-style-type: none"> > Consultative Clinical and Therapeutic Services (Autism Specialist) > Interpersonal Communication Therapy > Intensive Individual Supports > Parent Support/and training > Family Adjustment Counseling > Respite Services > Functional Eligibility Specialist is a contracted services | <ul style="list-style-type: none"> > Assistive Services Day Services > Financial Management Service > Medical Alert Rental > Personal Assistant > Residential Supports > Sleep Cycle Support > Specialized Medical Care > Supported Employment > Supportive Home Care > Wellness Monitoring | <ul style="list-style-type: none"> > Assistive Services Financial Management Service > Home delivered meals > Medication reminder call > Medication reminder dispenser > Medication reminder dispenser install > Personal Services- (agency or self-directed) > Personal Emergency Response > Personal Emergency Response Installation > Sleep Cycle Support | <ul style="list-style-type: none"> > Case Management > Financial Management Service > Health Maintenance Monitoring > Home Modifications > Intermittent Intensive Medical Care Services > Long Term Community Care Attendant > Medical Respite > Specialized medical care (skilled nursing) | <ul style="list-style-type: none"> > Assistive Services Financial Management Service > Home delivered meals > Medication reminder call dispenser > Medication reminder dispenser install > Personal Services (agency or self-directed) > Personal Emergency Response > Personal Emergency Response Installation > Rehabilitation Therapies > Sleep Cycle Support > Transitional Living Skills | <ul style="list-style-type: none"> > Adult Day Care > Assistive Technology > Attendant Care Services > Comprehensive Support > Financial Management Service > Medication Reminder > Nursing Evaluation Visit > Oral Health > Personal Emergency Response > Sleep Cycle Support > Wellness monitoring > Wellness monitoring devices suspended services/medication administration exception | <ul style="list-style-type: none"> > Wraparound Facilitation > Independent Living / Skill Building Services > Parent Support and Training > Short Term Respite Care > Professional Resource Family Care > Attendant Care | <ul style="list-style-type: none"> > Wraparound Facilitation > Independent Living / Skill Building Services > Parent Support and Training > Professional Resource Family Care > Attendant Care > Short Term Respite Care > Professional Resource Family Care > Attendant Care |
| <p>Average Monthly Number Persons Served FY 11</p> | 38 | 7728 | 6439 | 408 | 352 | 5823 | 3,985 | 165 |
| <p>FY 11 Expenditures (All funds)</p> | \$776,971 | \$319,851,455 | \$134,768,083 | \$27,157,697 | \$13,865,016 | \$7,665,012 | \$49,834,123 | \$1,436,919 |
| <p>Estimated Average Waiver expenditure Mo/year</p> | \$1,704/\$20,448 | \$3,449 / \$41,388 | \$1,744 / \$20,928 | \$5,547 / \$66,564 | \$3,282 / \$39,384 | \$13,096 / \$13,163 | \$1,121 / \$9,166 | \$1,510 / \$7,720 |
| <p>Institutional Setting Total Cost / Annually Per Person</p> | | Private ICF/MR \$13,512,737 / \$82,900 Public ICF/MR (combined)* \$54,388,134 / \$160,437 | Nursing Facilities \$413,101,187 / \$41,568 | | Head Injury Rehab Facility \$8,954,108 / \$21,946 | Nursing Facilities \$413,101,187 / \$41,568 | \$32,691 | \$28,980 |

Data from IBARS (Kansas Internet Budget and Reporting System) Note: Data for the SED waiver and PRTF CBA include all Mental Health Services (waiver and non-waiver services) paid through the Managed Care Entity, Kansas Health Solutions. Data for the Institutional equivalent setting for the SED waiver and the PRTF CBA are from the most recent Federal Cost Neutrality Demonstration reports.

Home and Community Based Service Waivers (HCBS) Expenditures from all funding sources FY 2000 to FY 2013 Approved

| | FY 2000 | FY 2001 | FY 2002 | FY 2003 | FY 2004 | FY 2005 | FY 2006 | FY 2007 | FY 2008 | FY 2009 | FY 2010 | FY 2011 | FY 2012 Approved | FY 2013 Approved |
|---|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| <i>Department on Aging</i> | | | | | | | | | | | | | | |
| HCBS/FE | \$ 44,748,114 | \$ 49,527,953 | \$ 58,223,782 | \$ 53,529,370 | \$ 45,069,948 | \$ 54,125,403 | \$ 55,706,959 | \$ 63,264,442 | \$ 68,765,887 | \$ 72,096,548 | \$ 74,459,912 | \$ 76,650,412 | \$ 75,039,601 | \$ 73,802,785 |
| <i>Department of Social and Rehabilitation Services</i> | | | | | | | | | | | | | | |
| HCBS/DD | 170,350,998 | 175,759,758 | 189,467,567 | 194,605,709 | 204,954,171 | 217,398,123 | 221,149,613 | 248,145,859 | 279,254,523 | 293,283,426 | 311,275,963 | 319,851,455 | 323,197,894 | 324,677,834 |
| HCBS/PD | 52,369,330 | 57,604,827 | 60,528,414 | 60,457,651 | 59,736,010 | 70,857,648 | 80,980,683 | 94,423,948 | 102,144,039 | 139,059,707 | 140,511,241 | 134,768,083 | 121,575,726 | 123,996,271 |
| H/TBI | 4,847,074 | 3,607,662 | 3,883,033 | 4,593,058 | 5,455,886 | 5,703,934 | 3,400,107 | 8,277,479 | 6,844,597 | 10,882,090 | 13,085,895 | 13,865,016 | 14,345,314 | 14,240,409 |
| TA | 125,885 | 153,178 | 121,642 | 166,401 | 181,244 | 182,470 | 112,115 | 179,712 | 240,806 | 18,189,216 * | 25,053,641 | 27,157,697 | 27,125,045 | 26,852,388 |
| Autism | | | | | | | | | 744,417 | 531,301 | 752,930 | 776,971 | 1,441,879 | 1,459,727 |
| TOTAL | \$ 274,520,666 | \$ 288,727,512 | \$ 320,089,840 | \$ 320,126,736 | \$ 321,920,772 | \$ 354,525,807 | \$ 367,973,571 | \$ 421,075,130 | \$ 465,654,328 | \$ 541,626,876 | \$ 576,906,095 | \$ 582,667,323 | \$ 572,968,205 | \$ 574,589,271 |

* In FY 2009, all expenditures for the Attendant Care for Independent Living Program were shifted to the Technology Assistance Waiver.

Staff Note: Prior to FY 2009 numbers also included Targeted Case Management Services.