Session of 2011

SENATE BILL No. 165

By By Senators Pilcher-Cook, Abrams, Bruce, Kelsey, Love, Lynn, Marshall, Masterson, Merrick, Olson, Ostmeyer, Petersen, Pyle, Taddiken and Wagle

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| 1 2 | AN ACT concerning abortion; relating to licensure of abortion clinics. |
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| $\frac{2}{3}$ | Be it enacted by the Legislature of the State of Kansas: |
| 4 | New Section 1. As used in sections 1 through 12, and amendments |
| 5 | thereto: |
| 6 | (a) "Abortion" has the same meaning ascribed thereto in K.S.A. 65- |
| 7 | 6701, and amendments thereto. |
| 8 | (b) "Ambulatory surgical center" means an ambulatory surgical |
| 9 | center as defined in K.S.A. 65-425, and amendments thereto. |
| 10 | (c) "Clinic" means any facility, other than a hospital or ambulatory |
| 11 | surgical center, in which any second or third trimester, or five or more |
| 12 | first trimester abortions are performed in a month. |
| 13 | (d) "Department" means the department of health and environment. |
| 14 | (e) "Facility" means any clinic, hospital or ambulatory surgical |
| 15 | center, in which any second or third trimester, or five or more first |
| 16 | trimester abortions are performed in a month. |
| 17 | (f) "Gestational age" has the same meaning ascribed thereto in |
| 18 | K.S.A. 65-6701, and amendments thereto, and shall be determined |
| 19 | pursuant to K.S.A. 65-6703, and amendments thereto. |
| 20 | (g) "Hospital" means a hospital as defined in subsection (a) or (b) of |
| 21 | K.S.A. 65-425, and amendments thereto. |
| 22 | (h) "Physician" has the same meaning ascribed thereto in K.S.A. 65- |
| 23 | 6701, and amendments thereto. |
| 24 | (i) "Secretary" means the secretary of the department of health and |
| 25 | environment. |
| 26 | New Sec. 2. (a) A facility shall be licensed in accordance with |
| 27 | sections 1 through 12, and amendments thereto. |
| 28 | (b) Any facility seeking licensure for the performance of abortions |
| 29 | shall submit an application for such license to the department on forms |
| 30 | and in the manner required by the secretary. Such application shall |
| 31 | contain such information as the secretary may reasonably require, |
| 32 | including affirmative evidence of the ability of the applicant to comply |
| 33 | with such reasonable standards and rules and regulations adopted |
| 34 | pursuant to section 9, and amendments thereto. |
| 35 | (c) Upon receipt of such application and verification by the |

department that the applicant is in compliance with all applicable laws
 and rules and regulations, the secretary shall issue a license to the
 applicant.

4 (d) A license issued under this section shall be posted in a 5 conspicuous place in a public area within the facility. The issuance of a 6 license does not guarantee adequacy of individual care, treatment, 7 personal safety, fire safety or the well-being of any occupant of such 8 facility. A license is not assignable or transferable.

9 (e) A license shall be effective for one year following the date of 10 issuance. A license issued under this section shall apply only to the 11 premises described in the application and in the license issued thereon, 12 and only one location shall be described in each license.

(f) At the time application for a license is made the applicant shall
pay a license fee in the amount of \$500. Fees paid pursuant to this
section shall not be refunded by the secretary.

16 (g) The secretary may make exceptions to the standards set forth in 17 law or in rules and regulations when it is determined that the health and 18 welfare of the community require the services of the hospital or 19 ambulatory surgical center and that the exceptions, as granted, will have 20 no significant adverse impact on the health, safety or welfare of the 21 patients of such hospital or ambulatory surgical center.

New Sec. 3. Applicants for an annual license renewal shall file an application with the department and pay the license fee in accordance with section 2, and amendments thereto. Applicants for an annual license renewal shall also be subject to a licensing inspection in accordance with section 5, and amendments thereto.

New Sec. 4. (a) No proposed facility shall be named, nor may any existing facility have its name changed to, the same or similar name as any other facility licensed pursuant to sections 1 through 12, and amendments thereto. If the facility is affiliated with one or more other facilities with the same or similar name, then the facility shall have the geographic area in which it is located as part of its name.

(b) Within 30 days after the occurrence of any of the following, a
facility shall apply for an amended license by submitting such application
to the department:

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(1) A change of ownership either by purchase or lease; or

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(2) a change in the facility's name or address.

New Sec. 5. (a) The secretary shall make or cause to be made such inspections and investigations of each facility at least twice each calendar year and at such other times as the secretary determines necessary to protect the public health and safety and to implement and enforce the provisions of sections 1 through 12, and amendments thereto, and rules and regulations adopted pursuant to section 9, and amendments thereto.

At least one inspection shall be made each calendar year without
 providing prior notice to the facility. For that purpose, authorized agents
 of the secretary shall have access to a facility during regular business
 hours.

5 (b) Information received by the secretary through filed reports, 6 inspections or as otherwise authorized under sections 1 through 12, and 7 amendments thereto, shall not be disclosed publicly in such manner as to 8 identify individuals. Under no circumstances shall patient medical or 9 other identifying information be made available to the public, and such 10 information shall always be treated by the department as confidential.

New Sec. 6. (a) When the secretary determines that a facility is in 11 violation of any applicable law or rule and regulation relating to the 12 operation or maintenance of such facility, the secretary, upon proper 13 notice, may deny, suspend or revoke the license of such facility, or assess 14 15 a monetary penalty after notice and an opportunity for hearing has been given to the licensee in accordance with the provisions of the Kansas 16 17 administrative procedure act. Violations of sections 1 through 12, and 18 amendments thereto, or of any rules and regulations adopted thereunder 19 shall be deemed one of the following:

(1) Class I violations are those that the secretary determines to 20 present an imminent danger to the health, safety or welfare of the patients 21 22 of the facility or a substantial probability that death or serious physical 23 harm could result therefrom. A physical condition or one or more 24 practices, means, methods or operations in use in a facility may constitute such a violation. The condition or practice constituting a class I violation 25 26 shall be abated or eliminated immediately unless a fixed period of time, 27 as stipulated by the secretary, is required for correction. Each day such violation shall exist after expiration of such time shall be considered a 28 29 subsequent violation.

(2) Class II violations are those, other than class I violations, that the
secretary determines to have a direct or immediate relationship to the
health, safety or welfare of the facility's patients. The citation of a class II
violation shall specify the time within which the violation is required to
be corrected. Each day such violation shall exist after expiration of such
time shall be considered a subsequent violation.

(3) Class III violations are those that are not classified as class I or
II, or those that are against the best practices as interpreted by the
secretary. The citation of a class III violation shall specify the time
within which the violation is required to be corrected. Each day such
violation shall exist after expiration of such time shall be considered a
subsequent violation.

42 (b) The secretary shall consider the following factors when 43 determining the severity of a violation:

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1 (1)Specific conditions and their impact or potential impact on the 2 health, safety or welfare of the facility's patients; 3 (2)efforts by the facility to correct the violation; (3) overall conditions of the facility: 4 5 (4) the facility's history of compliance; and 6 any other pertinent conditions that may be applicable. (5) 7 (c) Any monetary penalty assessed by the secretary shall be assessed 8 in accordance with the following fine schedule: (1) For class I violations the following number of violations within a 9 24-month period shall result in the corresponding fine amount: 10 One violation, a fine of not less than \$200 and not more than 11 (A) 12 \$1,000; (B) two violations, a fine of not less than \$500 and not more than 13 14 \$2,000; (C) three violations, a fine of not less than \$1,000 and not more than 15 16 \$5,000; and 17 four or more violations, a fine of \$5,000; (D) 18 for class II violations the following number of violations within a (2)19 24-month period shall result in the corresponding fine amount: One violation, a fine of not less than \$100 and not more than 20 (A) 21 \$200; 22 (B) two violations, a fine of not less than \$200 and not more than 23 \$1,000; 24 (C) three violations, a fine of not less than \$500 and not more than 25 \$2,000; 26 (D) four violations, a fine of not less than \$1,000 and not more than 27 \$5,000; and 28 (E) five or more violations, a fine of \$5,000; 29 (3) for class III violations the following number of violations within 30 a 24-month period shall result in the corresponding fine amount: 31 (A) One violation, there shall be no fine; 32 two violations, a fine of not less than \$100 and not more than (B) 33 \$500; 34 three violations, a fine of not less than \$200 and not more than (C) 35 \$1,000; 36 four violations, a fine of not less than \$500 and not more than (D) 37 \$2,000; 38 (E) five violations, a fine of not less than \$1,000 and not more than \$5,000; and 39 40 (F) six or more violations, a fine of \$5,000.

New Sec. 7. Except in the case of a medical emergency, as defined
in K.S.A. 65-6701, and amendments thereto, an abortion performed when
the gestational age of the unborn child is 22 weeks or more shall be

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performed in a licensed hospital or ambulatory surgical center. All other 1

abortions shall be performed in a licensed hospital, ambulatory surgical 2 3 center or facility.

4 New Sec. 8. (a) It shall be unlawful to operate a facility within Kansas without possessing a valid license issued annually by the 5 secretary pursuant to section 2, and amendments thereto, with no 6 7 requirement of culpable mental state.

8 It shall be unlawful for a person to perform or induce an abortion (b) 9 in a facility unless such person is a physician, with clinical privileges at a hospital located within 30 miles of the facility, with no requirement of 10 culpable mental state. 11

(c) Violation of subsection (a) or (b) is a class A nonperson 12 misdemeanor and shall constitute unprofessional conduct under K.S.A. 13 65-2837, and amendments thereto. 14

New Sec. 9. (a) The secretary shall adopt rules and regulations for 15 the licensure of facilities for the performance of abortions. 16

(b) The secretary shall adopt rules and regulations concerning 17 18 sanitation, housekeeping, maintenance, staff qualifications, emergency 19 equipment and procedures to provide emergency care, medical records and reporting, laboratory, procedure and recovery rooms, physical plant, 20 quality assurance, infection control, information on and access to patient 21 follow-up care and any other areas of medical practice necessary to carry 22 out the purposes of sections 1 through 12, and amendments thereto, for 23 facilities for the performance of abortions. At a minimum these rules and 24 25 regulations shall prescribe standards for:

(1) Adequate private space that is specifically designated for 26 interviewing, counseling and medical evaluations; 27

dressing rooms for staff and patients; 28 (2)

appropriate lavatory areas; 29 (3)

areas for preprocedure hand washing; 30 (4)

31 private procedure rooms; (5) 32

adequate lighting and ventilation for abortion procedures; (6)

33 surgical or gynecologic examination tables and other fixed (7) 34 equipment;

35 (8) postprocedure recovery rooms that are supervised, staffed and equipped to meet the patients' needs; 36

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(9) emergency exits to accommodate a stretcher or gurney; 38

areas for cleaning and sterilizing instruments; and (10)

(11) adequate areas for the secure storage of medical records and 39 necessary equipment and supplies. 40

(c) The secretary shall adopt rules and regulations to prescribe 41 facility supplies and equipment standards, including supplies and 42 43 equipment, that are required to be immediately available for use or in an

1 emergency. At a minimum these rules and regulations shall:

2 (1) Prescribe required equipment and supplies, including 3 medications, required for the conduct, in an appropriate fashion, of any 4 abortion procedure that the medical staff of the facility anticipates 5 performing and for monitoring the progress of each patient throughout 6 the procedure and recovery period;

7 (2) require that the number or amount of equipment and supplies at 8 the facility is adequate at all times to assure sufficient quantities of clean 9 and sterilized durable equipment and supplies to meet the needs of each 10 patient;

(3) prescribe required equipment, supplies and medications that shall
be available and ready for immediate use in an emergency and
requirements for written protocols and procedures to be followed by staff
in an emergency, such as the loss of electrical power;

(4) prescribe required equipment and supplies for required
laboratory tests and requirements for protocols to calibrate and maintain
laboratory equipment at the facility or operated by facility staff;

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(5) require ultrasound equipment in facilities; and

(6) require that all equipment is safe for the patient and the staff,
meets applicable federal standards and is checked annually to ensure
safety and appropriate calibration.

(d) The secretary shall adopt rules and regulations relating to facilitypersonnel. At a minimum these rules and regulations shall require that:

(1) The facility designate a medical director of the facility who islicensed to practice medicine and surgery in Kansas;

26 (2) physicians performing surgery in a facility are licensed to 27 practice medicine and surgery in Kansas, demonstrate competence in the 28 procedure involved and are acceptable to the medical director of the 29 facility;

30 (3) a physician with admitting privileges at an accredited hospital
31 located within 30 miles of the facility is available;

(4) another individual is present in the room during a pelvic
examination or during the abortion procedure and if the physician is male
then the other individual shall be female;

(5) a registered nurse, nurse practitioner, licensed practical nurse or
physician assistant is present and remains at the facility when abortions
are performed to provide postoperative monitoring and care until each
patient who had an abortion that day is discharged;

39 (6) surgical assistants receive training in the specific responsibilities40 of the services the surgical assistants provide; and

41 (7) volunteers receive training in the specific responsibilities of the
42 services the volunteers provide, including counseling and patient
43 advocacy as provided in the rules and regulations adopted by the director

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for different types of volunteers based on their responsibilities. 1

2 (e) The secretary shall adopt rules and regulations relating to the 3 medical screening and evaluation of each facility patient. At a minimum 4 these rules and regulations shall require:

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(1) A medical history including the following:

(A) Reported allergies to medications, antiseptic solutions or latex: 6 7

(B) obstetric and gynecologic history; and

8 (C) past surgeries;

9 a physical examination including a bimanual examination (2) estimating uterine size and palpation of the adnexa; 10

(3) the appropriate laboratory tests including:

(A) For an abortion in which an ultrasound examination is not 12 performed before the abortion procedure, urine or blood tests for 13 pregnancy performed before the abortion procedure; 14

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(B) a test for anemia as indicated;

(C) rh typing, unless reliable written documentation of blood type is 16 17 available: and

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(D) other tests as indicated from the physical examination;

19 (4) an ultrasound evaluation for all patients who elect to have an abortion of an unborn child. The rules shall require that if a person who 20 is not a physician performs an ultrasound examination, that person shall 21 22 have documented evidence that the person completed a course in the operation of ultrasound equipment as prescribed in rules and regulations. 23 The physician or other health care professional shall review, at the request 24 of the patient, the ultrasound evaluation results with the patient before the 25 26 abortion procedure is performed, including the probable gestational age 27 of the unborn child: and

28 (5) that the physician is responsible for estimating the gestational age of the unborn child based on the ultrasound examination and obstetric 29 30 standards in keeping with established standards of care regarding the 31 estimation of fetal age as defined in rules and regulations and shall verify 32 the estimate in the patient's medical history. The physician shall keep 33 original prints of each ultrasound examination of a patient in the patient's 34 medical history file.

35 (f) The secretary shall adopt rules and regulations relating to the abortion procedure. At a minimum these rules and regulations shall 36 37 require:

38 (1) That medical personnel is available to all patients throughout the 39 abortion procedure;

40 (2) standards for the safe conduct of abortion procedures that conform to obstetric standards in keeping with established standards of 41 care regarding the estimation of fetal age as defined in rules and 42 43 regulations;

1 (3) appropriate use of local anesthesia, analgesia and sedation if 2 ordered by the physician;

3 (4) the use of appropriate precautions, such as the establishment of 4 intravenous access at least for patients undergoing second or third 5 trimester abortions; and

6 (5) the use of appropriate monitoring of the vital signs and other 7 defined signs and markers of the patient's status throughout the abortion 8 procedure and during the recovery period until the patient's condition is 9 deemed to be stable in the recovery room.

10 (g) The secretary shall adopt rules and regulations that prescribe 11 minimum recovery room standards. At a minimum these rules and 12 regulations shall require that:

(1) Immediate postprocedure care consists of observation in a
 supervised recovery room for as long as the patient's condition warrants;

(2) the facility arrange hospitalization if any complication beyondthe management capability of the staff occurs or is suspected;

(3) a licensed health professional who is trained in the management
of the recovery area and is capable of providing basic cardiopulmonary
resuscitation and related emergency procedures remains on the premises
of the facility until all patients are discharged;

(4) a physician or a nurse who is advanced cardiovascular life
support certified shall remain on the premises of the facility until all
patients are discharged and to facilitate the transfer of emergency cases if
hospitalization of the patient or viable unborn child is necessary. A
physician or nurse shall be readily accessible and available until the last
patient is discharged;

(5) a physician or trained staff member discusses Rho(d) immune globulin with each patient for whom it is indicated and assures it is offered to the patient in the immediate postoperative period or that it will be available to her within 72 hours after completion of the abortion procedure. If the patient refuses, a refusal form approved by the department shall be signed by the patient and a witness and included in the medical record;

(6) written instructions with regard to postabortion coitus, signs of
possible problems and general aftercare are given to each patient. Each
patient shall have specific instructions regarding access to medical care
for complications, including a telephone number to call for medical
emergencies;

(7) there is a specified minimum length of time that a patient
 remains in the recovery room by type of abortion procedure and
 gestational age of the unborn child;

42 (8) the physician assures that a licensed health professional from the 43 facility makes a good faith effort to contact the patient by telephone, with

the patient's consent, within 24 hours after surgery to assess the patient's
 recovery; and

3 (9) equipment and services are located in the recovery room to 4 provide appropriate emergency resuscitative and life support procedures 5 pending the transfer of the patient or viable unborn child to the hospital.

6 (h) The secretary shall adopt rules and regulations that prescribe 7 standards for follow-up visits. At a minimum these rules and regulations 8 shall require that:

9 (1) A postabortion medical visit is offered and scheduled within four 10 weeks after the abortion, if accepted by the patient, including a medical 11 examination and a review of the results of all laboratory tests;

(2) a urine pregnancy test is obtained at the time of the follow-up
visit to rule out continuing pregnancy. If a continuing pregnancy is
suspected, the patient shall be evaluated and a physician who performs or
induces abortions shall be consulted; and

16 (3) the physician performing or inducing the abortion, or a person 17 acting on behalf of the physician performing or inducing the abortion, 18 shall make all reasonable efforts to ensure that the patient returns for a 19 subsequent examination so that the physician can assess the patient's medical condition. A brief description of the efforts made to comply with 20 this requirements, including the date, time and identification by name of 21 22 the person making such efforts, shall be included in the patient's medical 23 record.

(i) The secretary shall adopt rules and regulations to prescribe
 minimum facility incident reporting. At a minimum these rules and
 regulations shall require that:

(1) The facility records each incident resulting in a patient's or
viable unborn child's serious injury occurring at a facility and shall report
them in writing to the department within 10 days after the incident. For
the purposes of this paragraph, "serious injury" means an injury that
occurs at a facility and that creates a serious risk of substantial
impairment of a major body organ;

(2) if a patient's death occurs, other than an unborn child's death
properly reported pursuant to law, the facility shall report such death to
the department of health and environment not later than the next
department business day; and

(3) incident reports are filed with the department of health andenvironment and appropriate professional regulatory boards.

(j) (1) The secretary shall adopt rules and regulations requiring each
facility to establish and maintain an internal risk management program
which, at a minimum, shall consist of:

42 (A) A system for investigation and analysis of the frequency and 43 causes of reportable incidents within the facility;

1 (B) measures to minimize the occurrence of reportable incidents and 2 the resulting injuries within the facility; and

3 (C) a reporting system based upon the duty of all health care providers staffing the facility and all agents and employees of the facility 4 directly involved in the delivery of health care services to report 5 reportable incidents to the chief of the medical staff, chief administrative 6 7 officer or risk manager of the facility.

(2) As used in this subsection, the term "reportable incident" means 8 9 an act by a health care provider which:

(A) Is or may be below the applicable standard of care and has a 10 reasonable probability of causing injury to a patient; or 11

(B) may be grounds for disciplinary action by the appropriate 12 13 licensing agency.

(k) The rules and regulations adopted by the secretary pursuant to 14 this section do not limit the ability of a physician or other health care 15 professional to advise a patient on any health issue. The secretary 16 17 periodically shall review and update current practice and technology 18 standards under sections 1 through 12, and amendments thereto, and 19 based on current practice or technology adopt by rules and regulations alternative practice or technology standards found by the secretary to be 20 as effective as those enumerated in sections 1 through 12, and 21 22 amendments thereto

23 (1) The provisions of sections 1 through 12, and amendments thereto, 24 and the rules and regulations adopted pursuant thereto shall be in addition to any other laws and rules and regulations which are applicable to 25 26 facilities defined as clinics under section 1, and amendments thereto.

27 (m) In addition to any other penalty provided by law, whenever in the judgment of the secretary of health and environment any person has 28 29 engaged, or is about to engage, in any acts or practices which constitute, 30 or will constitute, a violation of this section, or any rules and regulations 31 adopted under the provisions of this section, the secretary shall make 32 application to any court of competent jurisdiction for an order enjoining 33 such acts or practices, and upon a showing by the secretary that such 34 person has engaged, or is about to engage, in any such acts or practices, an injunction, restraining order or such other order as may be appropriate 35 shall be granted by such court without bond. 36

37 New Sec. 10. (a) No diagnostic or therapeutic professional service involving an abortion procedure shall occur outside the physical presence 38 of a physician licensed in the state of Kansas. 39 When RU-486 (mifepristone) or any drug is used for the purpose of inducing an 40 abortion, the drug must be administered by or in the same room and in the 41 physical presence of the physician who prescribed, dispensed or 42 43 otherwise provided the drug to the patient.

The physician inducing the abortion, or a person acting on behalf 1 (b) 2 of the physician inducing the abortion, shall make all reasonable efforts to ensure that the patient returns 12 to 18 days after the administration or 3 use of such drug for a subsequent examination so that the physician can 4 5 confirm that the pregnancy has been terminated and assess the patient's medical condition. A brief description of the efforts made to comply with 6 7 this subsection, including the date, time and identification by name of the 8 person making such efforts, shall be included in the patient's medical

9 record.

(c) A violation of this section shall constitute unprofessional conduct
 under K.S.A. 65-2837, and amendments thereto.

New Sec. 11. Nothing in sections 1 through 12, and amendments
thereto, shall be construed as creating or recognizing a right to abortion.
Notwithstanding any provision of this section, a person shall not perform
an abortion that is prohibited by law.

16 New Sec. 12. The provisions of sections 1 through 12, and 17 amendments thereto, are declared to be severable, and if any provision, or 18 the application thereof, to any person shall be held invalid, such invalidity 19 shall not affect the validity of the remaining provisions of sections 1 20 through 12, and amendments thereto.

21 Sec. 13. This act shall take effect and be in force from and after its 22 publication in the statute book.