HOUSE BILL No. 2348

By Committee on Children and Seniors

2-14

AN ACT concerning the adult care home licensure act; relating to adult care home requirements, admissions and penalties; licensed and unlicensed employees; amending K.S.A. 39-953b and K.S.A. 2012 Supp. 39-936, 39-946 and 39-953a and repealing the existing sections.

Be it enacted by the Legislature of the State of Kansas:

Section 1. K.S.A. 2012 Supp. 39-936 is hereby amended to read as follows: 39-936. (a) The presence of each resident in an adult care home shall be covered by a statement provided at the time of admission, or prior thereto, setting forth the general responsibilities and services and daily or monthly charges for such responsibilities and services. Each resident shall be provided with a copy of such statement, with a copy going to any individual responsible for payment of such services and the adult care home shall keep a copy of such statement in the resident's file. No such statement shall be construed to relieve any adult care home of any requirement or obligation imposed upon it by law or by any requirement, standard or rule and regulation adopted pursuant thereto.

- (b) (1) A qualified person or persons shall be in attendance at all times upon residents receiving accommodation, board, care, training or treatment in adult care homes. The licensing agency may establish necessary standards and rules and regulations prescribing the number, qualifications, training, standards of conduct and integrity for such qualified person or persons attendant upon the residents.
- (2) An adult care home shall conspicuously post for each wing and shift the current number of licensed and unlicensed nursing personnel directly responsible for resident care and current ratios of residents to licensed and unlicensed nursing personnel. Such information shall be displayed on a form approved by the secretary for aging and disability services. For the purposes of this subsection, "licensed nursing personnel" means registered professional nurses and licensed practical nurses and "unlicensed nursing personnel" means nurse aides, nurse aide trainees, medication aides and paid nutrition assistants.
- (c) (1) The licensing agency shall require unlicensed employees of an adult care home, except an adult care home licensed for the provision of services to people with intellectual disability which has been granted an exception by the secretary of aging upon a finding by the licensing agency

1

2

3

4

5

6

7

8

9

10

11 12

13 14

15

16 17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

that an appropriate training program for unlicensed employees is in place for such adult care home, employed on and after the effective date of this aet January 1, 2014, who provide direct, individual care to residents and who do not administer medications to residents and who have not completed a course of education and training relating to resident care and treatment approved by the secretary of health and environment or are not participating in such a course on the effective date of this act to shall complete successfully 40 90 hours of education and training in basic resident care skills and treatment approved by the secretary of health and environment. Any unlicensed person who has not completed 40 90 hours of training education relating to resident care and treatment approved by the secretary of health and environment shall not provide direct, individual care to residents. The 40 90 hours of training shall be supervised by a registered professional nurse and the content and administration thereof shall comply with rules and regulations adopted by the secretary of health and environment. The 40 90 hours of training may be prepared and administered by an adult care home or by any other qualified person and may be conducted on the premises of the adult care home. The 40 90 hours of training required in this section shall be a part of any course of education and training required by the secretary of health and environment under subsection (c)(2). Training for paid nutrition assistants shall consist of at least eight 12 hours of instruction, at a minimum, which meets the requirements of 42 C.F.R. § 483.160.

(2) The licensing agency may require unlicensed employees of an adult care home, except an adult care home licensed for the provision of services to people with intellectual disability which has been granted an exception by the secretary of health and environment upon a finding by the licensing agency that an appropriate training program for unlicensed employees is in place for such adult care home, who provide direct, individual care to residents and who do not administer medications to residents and who do not meet the definition of paid nutrition assistance assistant under paragraph (a)(27) of K.S.A. 39-923, and amendments thereto, after 90 60 days of employment to successfully complete an approved course of instruction and an examination relating to resident care and treatment as a condition to continued employment by an adult care home. A course of instruction may be prepared and administered by any adult care home or by any other qualified person. A course of instruction prepared and administered by an adult care home may be conducted on the premises of the adult care home which prepared and which will administer the course of instruction. The licensing agency shall not require unlicensed employees of an adult care home who provide direct, individual care to residents and who do not administer medications to residents to enroll in any particular approved course of instruction as a condition to the taking

of an examination, but the secretary of health and environment shall prepare guidelines for the preparation and administration of courses of instruction and shall approve or disapprove courses of instruction. Unlicensed employees of adult care homes who provide direct, individual care to residents and who do not administer medications to residents may enroll in any approved course of instruction and upon completion of the approved course of instruction shall be eligible to take an examination. The examination shall be prescribed by the secretary of health and environment, shall be reasonably related to the duties performed by unlicensed employees of adult care homes who provide direct, individual care to residents and who do not administer medications to residents and environment to all unlicensed employees of adult care homes who provide direct, individual care to residents and who do not administer medications.

- (3) The secretary of health and environment shall fix, charge and collect a fee to cover all or any part of the costs of the licensing agency under this subsection (c). The fee shall be fixed by rules and regulations of the secretary of health and environment. The fee shall be remitted to the state treasurer in accordance with the provisions of K.S.A. 75-4215, and amendments thereto. Upon receipt of each such remittance, the state treasurer shall deposit the entire amount in the state treasury to the credit of the state general fund.
- (4) The secretary of health and environment shall establish a state registry containing information about unlicensed employees of adult care homes who provide direct, individual care to residents and who do not administer medications in compliance with the requirements pursuant to PL 100-203, Subtitle C, as amended November 5, 1990.
- (5) No adult care home shall use an individual as an unlicensed employee of the adult care home who provides direct, individual care to residents and who does not administer medications unless the facility has inquired of the state registry as to information contained in the registry concerning the individual.
- (6) Beginning July 1,—1993 2013, the adult care home must require any unlicensed employee of the adult care home who provides direct, individual care to residents and who does not administer medications and who since passing the examination required under paragraph (2) of this subsection has had a continuous period of 24 18 consecutive months during none of which the unlicensed employee provided direct, individual care to residents to complete an approved refresher course. The secretary of health and environment shall prepare guidelines for the preparation and administration of refresher courses and shall approve or disapprove courses.
 - (d) Any person who has been employed as an unlicensed employee of

 an adult care home in another state may be so employed in this state without an examination if the secretary of health and environment determines that such other state requires training or examination, or both, for such employees at least equal to that required by this state.

- (e) All medical care and treatment shall be given under the direction of a physician authorized to practice under the laws of this state and shall be provided promptly as needed.
- (f) No adult care home shall require as a condition of admission to or as a condition to continued residence in the adult care home that a person change from a supplier of medication needs of their choice to a supplier of medication selected by the adult care home. Nothing in this subsection (f) shall be construed to abrogate or affect any agreements entered into prior to the effective date of this act between the adult care home and any person seeking admission to or resident of the adult care home.
- (g) Except in emergencies as defined by rules and regulations of the licensing agency and except as otherwise authorized under federal law, no resident may be transferred from or discharged from an adult care home involuntarily unless the resident or legal guardian of the resident has been notified in writing at least 30 days in advance of a transfer or discharge of the resident.
- (h) No resident who relies in good faith upon spiritual means or prayer for healing shall, if such resident objects thereto, be required to undergo medical care or treatment.
- Sec. 2. K.S.A. 2012 Supp. 39-946 is hereby amended to read as follows: 39-946. (a) If upon reinspection by the state fire marshal or the marshal's representative or a duly authorized representative of the secretary of aging, which reinspection shall be conducted within 14 days from the day the correction order is served upon the licensee, it is found that the licensee of the adult care home which was issued a correction order has not corrected the deficiency or deficiencies specified in the order, the secretary of aging may assess a civil penalty in an amount not to exceed \$500 per day per deficiency against the licensee of an adult care home for each day subsequent to the day following the time allowed for correction of the deficiency as specified in the correction order that the adult care home has not corrected the deficiency or deficiencies listed in the correction order, but the maximum assessment shall not exceed \$2,500 \$3,000. A written notice of assessment shall be served upon the licensee of an adult care home either personally or by certified mail, return receipt requested.
- (b) Before the assessment of a civil penalty, the secretary of aging shall consider the following factors in determining the amount of the civil penalty to be assessed: (1) The severity of the violation; (2) the good faith effort exercised by the adult care home to correct the violation; and (3) the

history of compliance of the ownership of the adult care home with the rules and regulations. If the secretary of aging finds that some or all deficiencies cited in the correction order have also been cited against the adult care home as a result of any inspection or investigation which occurred within 18 months prior to the inspection or investigation which resulted in such correction order, the secretary of aging may double the civil penalty assessed against the licensee of the adult care home, the maximum not to exceed \$5,000 \$6,000.

- (c) All civil penalties assessed shall be due and payable within 10 days after written notice of assessment is served on the licensee, unless a longer period of time is granted by the secretary. If a civil penalty is not paid within the applicable time period, the secretary of aging may file a certified copy of the notice of assessment with the clerk of the district court in the county where the adult care home is located. The notice of assessment shall be enforced in the same manner as a judgment of the district court.
- Sec. 3. K.S.A. 2012 Supp. 39-953a is hereby amended to read as follows: 39-953a. (a) At any time the secretary of aging initiates any action concerning an adult care home in which it is alleged that there has been a substantial failure to comply with the requirements, standards or rules and regulations established under the adult care home licensure act, that conditions exist in the adult care home which are life threatening or endangering to the residents of the adult care home, that the adult care home is insolvent, or that the adult care home has deficiencies which significantly and adversely affect the health, safety, nutrition or sanitation of the adult care home residents, the secretary of aging may shall issue an order, pursuant to the emergency proceedings provided for under the Kansas administrative procedure act, prohibiting any new admissions into the adult care home until further determination by the secretary of aging. This remedy granted to the secretary of aging is in addition to any other statutory authority the secretary of aging has relating to the licensure and operation of adult care homes and is not be construed to limit any of the powers and duties of the secretary of aging under the adult care home licensure act.
- (b) This section shall be part of and supplemental to the adult care home licensure act.
- Sec. 4. K.S.A. 39-953b is hereby amended to read as follows: 39-953b. (a) The secretary shall issue annually to each adult care home a report summarizing by category of licensure, violation and frequency of occurrence those violations which have resulted in the issuance of correction orders and civil penalties within the preceding twelve-month period.
 - (b) The secretary shall report annually to the committee on children

1 2

 and seniors and the social services budget committee of the house of representatives, and the committee on public health and welfare and the committee on ways and means of the senate, an assessment of whether the minimum nursing care hours established pursuant to section 5, and amendments thereto, are sufficient to meet residents' needs. If the secretary finds that such minimum nursing care hours are insufficient to meet the needs of residents, the secretary shall include in the annual report required by this subsection any recommendations to adjust minimum nursing care hours to sufficiently meet residents' needs.

- (c) This section shall be part of and supplemental to the adult care home licensure act.
 - New Sec. 5. (a) As used in this section:
- (1) "Nurse aide personnel" means nurse aides, nurse aide trainees, medication aides or paid nutrition assistants.
 - (2) "Qualified nursing personnel" means:
 - (A) Registered professional nurses or licensed practical nurses;
 - (B) nurse aides, nurse aide trainees or medication aides; and
 - (C) paid nutrition assistants.
- (3) "Resident day" means a period of service rendered to a resident between census-taking hours on two successive days and all other days in an adult care home. Census-taking hours shall consist of 24 hours beginning at midnight.
- (b)(1) The minimum nursing care hours provided in this section apply to all residents residing within an adult care home, regardless of payment source.
- (2) On and after July 1, 2013, the required hours of qualified nursing personnel per resident day in nursing facilities shall be at least 2 hours and 50 minutes, of which at least 40 minutes shall be allocated to registered professional nurse time, at least 27 minutes shall be allocated to licensed practical nurse time, and at least one hour and 43 minutes shall be allocated to nurse aide personnel time;
- (3) on and after July 1, 2014, the required hours of qualified nursing personnel per resident day in nursing facilities shall be at least 3 hours and 39 minutes, of which at least 51 minutes shall be allocated to registered professional nurse time, at least 35 minutes shall be allocated to licensed practical nurse time, and at least two hours and 13 minutes shall be allocated to nurse aide personnel time; and
- (4) on and after July 1, 2015, the required hours of qualified nursing personnel per resident day in nursing facilities shall be at least 4 hours and 26 minutes, of which at least 62 minutes shall be allocated to registered professional nurse time, at least 42 minutes shall be allocated to licensed practical nurse time, and at least two hours and 42 minutes shall be allocated to nurse aide personnel time.

(c) (1) For nursing facilities of 60 residents or less, registered professional nurse time may include the director of nursing;

- (2) for nursing facilities of 61 residents or more, registered professional nurse time shall not include the director of nursing; and
- (3) for nursing facilities of 101 residents or more, registered professional nurse time shall not include the director of nursing or the assistant director of nursing.
- (d) Except as otherwise provided in subsections (b) and (c), nothing in this section shall be interpreted to change existing standards, rules or regulations established by the secretary for aging and disability services pursuant to K.S.A. 39-936, and amendments thereto.
- 12 (e) This section shall be part of and supplemental to the adult care home licensure act.
 - Sec. 6. K.S.A. 39-953b and K.S.A. 2012 Supp. 39-936, 39-946 and 39-953 are hereby repealed.
 - Sec. 7. This act shall take effect and be in force from and after its publication in the statute book.