

Dwight D. Eisenhower State Office Building  
700 S.W. Harrison Street  
Topeka, KS 66603-3745

Mike King, Secretary  
Jefferson F Neal, Chief Information Officer



Phone: 785-296-3727  
Fax: 785-296-6222  
Hearing Impaired - 711  
publicinfo@ksdot.org  
<http://www.ksdot.org>

Sam Brownback, Governor

**TESTIMONY BEFORE  
JOINT COMMITTEE ON INFORMATION TECHNOLOGY  
RELATING TO KDOT IT PROJECTS**

**September 7, 2013**

Good morning Mr. Chairman, members of the committee. I am Jeff Neal, Chief of the KDOT Office of Information Technology Services and I am providing this update on the Document Management System Replacement project per the committee's request.

**Document Management System Replacement**

The Kansas Department of Transportation (KDOT) implemented our current Document Management System (DMS) in 1992. At that time, a Request for Proposal (RFP) was issued as part of a larger project called Records and Workflow Management (RWM). This project encompassed document management, imaging, electronic forms, workflow, and electronic signatures. The product selected was a Commercial Off The Shelf System (COTS) product from Filenet called Content Services.

In 2006, IBM acquired the Filenet Content Services product and has been supporting it since that time. Recently, IBM has announced the End of Service (EOS) date of 9/30/2014 for the product. This places KDOT in a position of having to replace our existing Document Management System. This situation has been anticipated and noted in the agency's 3 Year IT Management & Budget Plan.

The objective of this effort is to acquire a replacement Enterprise Document Management System. This system is accessed daily by public users, the Kansas Department of Revenue, Kansas law enforcement agencies and is available to nearly 2,200 internal KDOT users across the state.

Since the system was implemented, KDOT has placed nearly three and a half million documents in the system and has benefited significantly from the reduction in the cost of storing paper and microfilm. Paper consumes considerable physical space and microfilm suffers from deterioration and the risk of obsolescence of technology to view it. In addition, each of these mediums are subject to destruction in the case of disaster.

As these documents have been added, the paper and the microfilm have been destroyed and discarded. In addition to these benefits, the document management system has brought about greater efficiencies in staff time to organize, search for and retrieve these documents.

KDOT has a tremendous dependency on these electronically stored documents for day to day administrative, management and engineering operations. There is also a portion of the Document Management System that KDOT uses to place documents for access by the public and our business partners. In addition, all vehicle accident reports for the State of Kansas are housed in KDOT's Document Management System and are accessed by law enforcement and the Department of Revenue via the Traffic Records System.

KDOT submitted a Feasibility Study Report and High Level Project Plan to the Chief Information Technology Officer (CITO), Anthony Schlinsog, and received approval for the project on February 26, 2013.

An RFP was developed, reviewed and approved by the KITO office, and was posted on April 9<sup>th</sup>, 2013. The proposal period closed on May 10. Proposal review, product evaluation and contract negotiations have taken place since that time. We hope to have a vendor under contract by the end of September. I would be happy to provide a follow-up memo with information regarding the selected vendor and additional information once we have a vendor under contract.

I would be happy to stand for any questions related to the history of the DMS. As stated previously, I will not be able to answer questions about our ongoing vendor negotiations or the RFP.